

INVENTOR'S DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; that I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention or design entitled **AUTOMATICALLY ALLOCATING AND REBALANCING DISCRETIONARY PORTFOLIOS**, the specification of which:

X _____ is attached hereto; or
_____ was filed in the United States on _____ as Application Serial No. _____; or
_____ was filed as International Application Serial Number _____ on _____, which application designated the United States of America; or
_____ was the subject of an amendment filed in the U.S. Patent and Trademark Office on _____

that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above; and that I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent, utility model or inventor's certificate designated below, or under §365(a) of any application filed under the Patent Cooperation Treaty which designated at least one country other than the United States of America, and have also identified below any foreign application(s) for patent, utility model or inventor's certificate having a filing date before that of the application to which priority is claimed:

<u>Application</u> <u>Number</u>	<u>Country</u>	<u>Date Filed</u>	<u>Priority Claimed</u>
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I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) listed below or under 35 U.S.C. §365(c) of any PCT International application designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Application Serial Number

Date Filed

Status

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below:

(Application Serial Number)

(Date Filed)

Direct all telephone calls to Jefferson Perkins at Telephone No. 312-368-7073.

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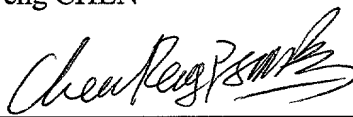
I hereby acknowledge that Piper Rudnick represents the assignee of the above-identified invention and does not represent me.

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Attorney Docket No.: 301101-000009 (P6340)

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